

The humble savers - Financial Planner Checklist

Seven Questions for the financial planner and one for you

Financial Planner: _____

Company Name: _____

Questions	Answers
<p>1 - Qualifications and Experience.</p> <ul style="list-style-type: none"> • List of qualifications • Authorised Representative Number • Do you have a finance degree? • What did you study last at college/uni? • What training and studies do you currently undertake? • Describe your typical client? • How long have you been a financial planner? • What have you learned most as a financial planner? • Summarise your skills, values and financial planning beliefs? 	
<p>2 - About the Company</p> <ul style="list-style-type: none"> • How old is the company? • Any issues or unresolved client complaints? • How long has the staff been at the company? • What will occur when the owners retire? • Is the company linked to major institution? If yes, will this compromise the advice? 	
<p>3 – Benefits for the FP working at this company</p> <ul style="list-style-type: none"> • What are the benefits for you working at this company? 	
<p>4 – Disadvantages working at this company</p> <ul style="list-style-type: none"> • What are the disadvantages for working at this company? 	
<p>5- What client experience will be delivered</p> <ul style="list-style-type: none"> • What is the client experience delivered at this company? 	
<p>6 – Fees for the services</p> <ul style="list-style-type: none"> • Please supply a schedule of fees for the services • Important note – Challenge the fees and make sure you understand each and every fee 	
<p>7 – What if something goes wrong?</p> <ul style="list-style-type: none"> • If something goes wrong, how do I make a complaint? • What experience does the company have with complaints? • Any long running unresolved complaints? If so why? 	
<p>1 - Question For You</p> <ul style="list-style-type: none"> • Can I work and trust this financial planner? 	